



UT20 Rec'd PCT/PTC 12 MAY 2003

PATENT  
Docket No.: 29273/516

#14  
#15

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Shigeo Moriyama, et al.  
SERIAL NO. : 09/462,912  
FILING DATE : January 18, 2000  
FOR : POLISHING APPARATUS AND METHOD FOR PRODUCING  
SEMICONDUCTORS USING THE APPARATUS  
GROUP ART UNIT : 3723  
EXAMINER : Unknown

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

TECHNOLOGY CENTER R3700

RECEIVED  
JUN 05 2003

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

The errors are listed below.

**ERROR IN**

Title:

Polishing apparatus and a semiconductor  
manufacturing method using the same

**CORRECT DATA**

Title should read:

**POLISHING APPARATUS AND  
METHOD FOR PRODUCING  
SEMICONDUCTORS USING THE  
APPARATUS**

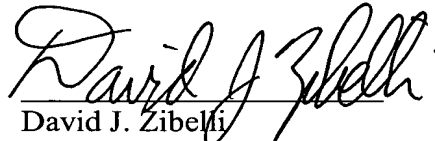
**Docket No.: 29273/516**

The Examiner is invited to call the undersigned at (202) 220-4200 to discuss any information concerning this application.

The Office is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayment to Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

  
David J. Zibelli  
Registration No. 36,394

Date: 5/12/03

KENYON & KENYON  
1500 K Street, N.W., Suite 700  
Washington, D.C. 20005-1257  
Tel.: (202) 220-4200  
Fax.: (202) 220-4201



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/462,912         | 01/18/2000  | 3723         | 840           | 29273/516       | 8        | 11         | 3          |

26646  
KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

CONFIRMATION NO. 5646

FILING RECEIPT



\*OC00000009817265\*

Date Mailed: 04/14/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shigeo Moriyama, Tama, JAPAN;  
Yoshihiro Ishida, Hitachinaka, JAPAN;  
Takashi Kugaya, Hitachinaka, JAPAN;  
Shigeo Ootsuki, Kasama, JAPAN;  
Soichi Katagiri, Kodaira, JAPAN;  
Sadayuki Nishimura, Yokohama, JAPAN;  
Ryosei Kawai, Kodaira, JAPAN;  
Kan Yasui, Kokubunji, JAPAN;

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP98/04881 10/28/1998

Foreign Applications

If Required, Foreign Filing License Granted: 04/10/2003

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: Yes

Early Publication Request: No

APR 21 3 46 PM '03  
RECEIVED  
KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

Title

Polishing apparatus and a semiconductor manufacturing method using the same

*Method for producing semiconductors using the apparatus*

Preliminary Class

451

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).